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The subject advantage in relative clauses: A review

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OVERVIEW ARTICLE

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Abstract

The question of whether there exists a universal subject preference in relativization has stimulated research in a wide range of languages and across different domains, yielding an extensive body of literature in relative clause acquisition and processing. In this article, we aim at consolidating the efforts of existing research in order to inform further exploration of the universality of the subject preference with a comprehensive analysis of relevant work (including journal articles on empirical studies, dissertations, and conference proceedings). We present an overview of the proposals regarding the source(s) of the subject-object asymmetry from a crosslinguistic perspective and discuss commonly used methodologies in this research area, and we survey the research on relative clause processing and acquisition of different linguistic communities, including native speakers, second language learners, clinical populations, and heritage speakers.

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1 Introduction

While relative clauses (RCs) tend to be acquired late and to cause processing difficulties, some types of RCs are easier to acquire and process than others. For instance, there is a well-researched *subject-object asymmetry* in relativization, as in the English examples in (1) and (2).

- (1) Subject RC (SRC): The boy [that _ chased the girl]
- (2) Direct object RC (ORC): The boy [that the girl chased _]

Findings from Indo-European languages generally support a "universal" subject advantage: adults process SRCs more quickly and accurately than ORCs; children understand and produce SRCs earlier than ORCs. Research on typologically distinct languages and with groups other than monolingual native speakers, however, casts doubt on the universality of these patterns.

This overview paper critically reviews the state-of-the-art literature on RC processing and acquisition in order to evaluate proposals regarding the source of the subject-object asymmetry as well as the methodologies devised to investigate it.¹ We draw on findings from a wide range of languages. Virtually all languages have RCs; hence, understanding how they are processed and acquired across languages illuminates general cognitive principles of human language processing. We point out a general convergence toward a "true" subject preference, but also identify contradictions and remaining questions to encourage a multifactorial view. Although we focus on research on typical monolingual native speaker populations, we also touch on the theoretical and practical implications of research on second and heritage language speakers and clinical populations.

Section 2 introduces the subject-object asymmetry, and Section 3 reviews proposals on its sources. Section 4 addresses whether these proposals are borne out by cross-linguistic work. Section 5 describes common methodological approaches to the phenomenon. Sections 6 and 7 briefly review research on clinical and multilingual populations, respectively. Section 8 concludes.

2 The phenomenon

In Keenan & Comrie's (1977) noun phrase accessibility hierarchy (NPAH), based on typological observations from approximately 50 languages, *accessibility* refers to an NP's relative availability to grammatical processes:

(3) Subject > Direct Object > Indirect Object > Oblique > Genitive > Object of Comparison

According to the NPAH, if a language allows relativization (and other extractions) of one NP type, it should allow the relativization of all NPs to its left in the hierarchy. If a language can relativize direct objects, for instance, it should be able to relativize subjects. However, the same language may or may not allow relativization of indirect objects or any other NP type to its right.

The NPAH generalizes a typological implication but lacks explanation (a deliberate choice, according to Comrie 2007); subsequent works explore its psychological reality. If there is "progressively greater difficulty in processing RCs as the clause's place in the hierarchy decreases," then the NPAH can "serve as a predictor of psychological complexity" (Keenan & Hawkins 1987: 61). The notion of *accessibility* therefore extends to ease of retrieval/activation of RC structures from memory, reflected in relative ease of processing and/or acquisition. The difficulty of less accessible RC types can manifest in the following forms:

COMPREHENSION

- lower accuracy
- longer processing time
- more working memory burden

1 In order to make the overview as comprehensible as possible, we included dissertations, journal articles, and conference proceedings, and, if they were the only source on a language, conference presentations, master's theses, and working papers. Due to space limitations, the reference list only contains studies cited in the text, but summaries of 323 relevant studies can be found in the Supplementary Files.

PRODUCTION

- slower responses
- more errors
- more omissions/substitutions

CHILD LANGUAGE ACQUISITION/SECOND LANGUAGE LEARNING

- later emersion and acquisition
- more avoidance

Early research on child and second language acquisition (e.g. Brown 1971; Hatch 1971; Legum 1975; Keenan & Hawkins 1987) showed an apparent correlation between order of RC mastery and the NPAH. Later work on adult language processing found a similar hierarchy of preferences. As most studies focus on the contrast between SRCs and ORCs, the terms *subject-object asymmetry* and *subject advantage/preference* are commonly used. Although the subject advantage can apply to both intransitive and transitive SRCs, more studies focus on transitive structures, as intransitive SRCs do not have an ORC counterpart for comparison. Some studies also include other positions on the NPAH (e.g. Kim & O'Grady 2016; Lin 2018). This review attempts to cover relevant work to understand both the basis of the subject advantage and whether it is truly universal.

The next section reviews the most prominent proposals regarding the source of the subjectobject asymmetry.

3 Source(s) of the subject-object asymmetry

This section categorizes proposals regarding the source of the subject-object asymmetry as follows: resource-based effects (3.1), structural effects (3.2), canonicity effects (3.3), distribution-based effects (3.4), and prominence effects (3.5). These proposals are different in terms of their scope. Some apply only to comprehension or production, and some only to processing or acquisition; others are relevant to all of these domains. They also differ in rigor, with some proposals having more predictive power than others. The intention of this paper, however, is to offer a comprehensive review spanning the different domains in the hope of providing a bigger picture and benefiting a wider audience.

3.1 Resource-based effects

Processing RCs requires resolving a filler-gap dependency; that is, integrating the information of the RC's head noun (filler) and its gap to understand how they are related in order to interpret the RC. The assumption is that the language processor needs to hold the information of one end of the dependency (either the gap or the head noun, depending on RC head direction; Section 4.1) in working memory until encountering the other end of the dependency, when resolution becomes possible.

In this view, the subject-object asymmetry is caused by the working memory cost of processing the dependency, which can be captured as the linear distance between the head and the gap (Wanner & Maratsos 1978; Tarallo & Myhill 1983; King & Just 1991). In English, the distance (number of intervening words) between the head and its gap is longer in ORCs (5) than SRCs (4). Hence, processing ORCs creates a greater burden on working memory, because the filler must be retained for a longer distance before the dependency is resolved.

King & Just (1991) provided evidence for the role of working memory in RC processing by showing that ORCs cost more to process in a reading task: participants with low working memory span processed RCs more slowly than participants with high working memory span, with a larger discrepancy for ORCs than SRCs. Further, an additional memory load component slowed the high working memory group's processing of ORCs but not SRCs.

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In Gibson's (1998; 2000) dependency locality theory, processing cost is calculated as number of new discourse referents (e.g. NPs, tensed verbs) rather than intervening words. New discourse referents require additional processing effort to integrate and store while structure is built for them (Gibson 1998: 12). In the ORC in (7), two discourse referents (i.e. co-argument and verb) intervene between the filler and the gap; in the SRC in (6), no discourse referent intervenes. This difference is predicted to make ORCs more difficult than SRCs to process.

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(6) the boy [that _ saw the girl] SRC = 0 referent
(7) the boy [that the girl saw _] ORC = 2 referents

REL.

NP

Warren & Gibson (2002) demonstrated that processing costs are also dependent on the discourse accessibility of a referent, meaning the degree to which its antecedent is accessible. For example, based on givenness, pronouns are more accessible than proper names in discourse (Gundel et al. 1993); thus, RCs with an intervening pronominal element can be read faster than RCs with intervening proper names.

Resource-based accounts center on the linear distance of the filler-gap dependency, which depends on RC structure. The original hypothesis was based on European languages, which mostly have SVO word order and postnominal RCs, and which mostly show a subject advantage. For languages with different word orders and different RC structural configurations, however, resource-based effects may have different outcomes (Section 4.1).

3.2 Structural effects

The hierarchical structure of languages may also account for the subject-object asymmetry. Direct objects occur lower and more deeply embedded in syntactic structure than subjects. If more deeply embedded constituents require more computational effort to access than less deeply embedded constituents, then direct objects will be less accessible (hence more difficult to process) than subjects. The structural effects account implies the universality of the subject advantage, because subjects are presumably higher than direct objects in syntactic structure in all languages (see, however, Section 4.3 on ergative languages). While this account can also be considered "resource-based" as it centers on processing ease, we discuss it separately due to the focus on structural rather than linear representation.

Frazier's (1987) study exploited the surface ambiguity between SRCs and ORCs in Dutch and German. Embedded clauses in these V2 languages have SOV word order; verb position does not differentiate SRCs and ORCs. In addition, when subject and object have the same person and number, subject-verb agreement does not help distinguish RC types. Hence, the RC in (8) can be interpreted as an SRC (a) or an ORC (b) (Frazier 1987: 545, ex. 26).

(8) Ik schreef aan de vriend [die mijn tante heeft bezocht]. I wrote to the friend who my aunt have-SG visited) a. SRC interpretation: Ik schreef aan de vriend [die heft bezocht]. mijn tante I wrote to the friend who my aunt have-SG visited 'I wrote to the friend who has visited my aunt.' b. ORC interpretation: Ik schreef aan de vriend [die mijn tante bezocht]. heft I wrote to the friend who my aunt have-SG visited 'I wrote to the friend whom my aunt has visited.'

Native Dutch- and German-speaking adults interpret such ambiguous RCs as SRCs more frequently than ORCs (Frazier 1987; Schriefers et al. 1995). According to Frazier's (1987) active-filler hypothesis, the language processor tends to resolve a dependency at the earliest opportunity upon identifying a filler, thus initially assigning an SRC interpretation to all RC structures because subject gaps appear sooner than any other position. Therefore, ORCs are more difficult to process both because the RC head has to be held in working memory longer, and because the initial misanalysis as an SRC must be revised.

In an alternative structural account, an RC's difficulty depends on the embeddedness/depth of the gap in terms of the number of syntactic nodes crossed by the dependency (Collins 1994; O'Grady 1997: 135–136; Hawkins 1999; 2004). Examples (9) and (10) present a schematic sketch of the structure of SRCs and ORCs in English, following Lin (2015). While there have been different proposals as to how to measure the structural distance, one way is to count the intervening XP nodes between the head and gap (Collins 1994; O'Grady 1997: 135–136), of which the SRC in (9) has two (CP, IP) and the ORC in (10) has three (CP, IP, VP). Therefore, the object gap is more deeply embedded in the syntactic structure, and the structural distance between the head and the gap is greater in ORCs than SRCs.

(9) SRC = 2 nodes the boy $[_{CP}$ that $[_{PP}$ saw the girl]] NP ŃΡ ĈP the boy_i ć IP that ŃΡ ŴΡ ŇΡ $subject.gap_i$ the girl saw

(10) the boy $[_{CP}$ that $[_{IP}$ the girl $[_{VP}$ saw _]]] ORC = 3 nodes



Another type of structural effect is an intervention effect (Friedmann et al. 2009), built on the idea of relativized minimality: a local relation between a target and its trace will fail if there is a hierarchical intervention by an element whose featural specifications fully match those of the target (Rizzi 1990). In the case of RCs, nothing intervenes between target (head) and trace (gap/resumption) in SRCs, but in ORCs, the subject hierarchically intervenes and shares some features with the target. The intervention effect decreases if the intervener and the target are dissimilar, but there is a developmental difference (Friedmann et al. 2009). A partial match of featural specifications is generally acceptable to adults (i.e. the local relation between target and trace holds despite the hierarchical intervention), but intervention effects may still manifest as complexity effects such as performance delays. Children operate on a stricter principle: even a partial match of features between the intervening subject and the target causes comprehension and production breakdown. Works on intervention effects have explored features such as gender, lexical restriction, and number (e.g. Belletti et al. 2012; Friedmann et al. 2009).

3.3 Canonicity effects

The language processor is assumed to employ a canonical sentence schema to interpret sentences (Swinney & Love 1998; Sekerina 2003). Thus, structures in a language's canonical word order should be easier to process and acquire. The arguments' thematic and grammatical roles in a sentence are also expected to follow the relative order in a prototypical transitive clause, as shown by a tendency to interpret a first NP as both subject and agent.

Canonicity effects (or thematic ordering effects) appear to be important in acquisition (Bever 1970; Slobin & Bever 1982; MacWhinney et al. 1984). Bever's (1970) child participants performed well with SRCs but randomly with ORCs; Bever argued that the children were using a canonical NVN-schema to interpret the RCs, which succeeded with SRCs due to their NVN word order (11), but failed with ORCs due to their NNV word order.

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	Ν	V N	
(11)	the boy [_ that	saw the girl]	SRC
	Ν	N V	
(12)	the girl [that the	e boy saw _]	ORC

Diessel & Tomasello (2005; see also Diessel 2009) also tested children's comprehension and production of RCs, finding that ORCs were more difficult for children to imitate than SRCs. They explained the finding as a canonicity effect: both intransitive (SV) and transitive (SVO) SRCs (13)–(14) follow the canonical word order, whereas the OSV word order of ORCs (15) is non-canonical.

	S V	
(13)	the boy [that _ ran]	Intransitive SRC
	S V O	
(14)	the boy [that _ saw the girl]	Transitive SRC
	O S V	
(15)	the girl [that the boy saw _]	ORC

Additional evidence for canonicity effects comes from the reversal error frequently observed in children's comprehension of ORCs (Diessel & Tomasello 2005). If children see a pair of reversible pictures (e.g. a girl chasing a boy vs. a boy chasing a girl) and must select one that matches the RC they hear (see Section 5), they often select the distractor picture (the one depicting the characters in the wrong thematic roles) in ORC conditions. This suggests that children choose characters' thematic roles according to the characters' order of appearance in the sentence, resulting in a mis-assignment of the thematic roles in ORCs.

It is not clear, however, how to quantify such canonicity effects. For example, what prediction can be made when two non-canonical word orders are compared? We explore this matter further in Section 4's discussion of languages with different word orders and RC configurations.

3.4 Distribution-based effects

What is frequent in natural (or child-directed) speech is, research suggests, acquired earlier by children and more easily comprehended and produced by adults (Ambridge et al. 2015). A distribution-based approach considers how different distributional properties of RC types influence processing and acquisition. The animacy of the RC head noun, for example, is a strong indicator of RC type: in adult production, SRCs are more likely to have an animate head, and ORCs an inanimate head (Fox & Thompson 1990; Roland et al. 2007). Sentence continuation research also shows the effects of head animacy: native English speakers tend to complete a given RC with an animate head as an SRC, and one with an inanimate head as an ORC (Mak et al. 2002; Gennari & MacDonald 2008). Child language also shows the association of SRCs with animate heads and ORCs with inanimate heads (e.g. English: Diessel 2004; Kidd et al. 2007; German: Kidd et al. 2007). *Table 1* summarizes corpus findings on the distribution of English SRCs and ORCs with animate and inanimate head nouns.

Studies on adult processing (Mak et al. 2002; 2006; Traxler et al. 2002; 2005) and child acquisition (Kidd et al. 2007) show that the difficulty associated with ORCs varies with the animacy of the head and the co-argument, and that the SRC advantage can be neutralized by manipulating the animacy configuration. ORCs are easier to process when they have animate subjects and inanimate objects (16), and harder when the arguments' animacy is reversed (17). Relative ease of processing may emerge when the animacy configuration matches the processor's expectations based on prototypical thematic/grammatical roles of the arguments in a transitive clause, while relative difficulty may emerge when the animacy configuration is reversed (17) because the same expectations lead to initial misanalysis. (16–17) are adapted from Mak et al. (2002).

	SRC	ORC	Sources
Adult			
Animate	75%	-	Brown Corpus (Roland et al. 2007)
	91%	-	Switchboard Corpus (Roland et al. 2007)
	85%	15%	Sentence completion data (Gennari & MacDonald 2008)
Inanimate	34%	56%	Spontaneous speech data (Fox & Thompson 1990)
	47%	-	Brown Corpus (Roland et al. 2007)
	31%	-	Switchboard Corpus (Roland et al. 2007)
	65%	35%	Sentence completion data (Gennari & MacDonald 2008)
Child			
Animate	47.8%	30.8%	Brown Corpus (Diessel 2004)
		10.5%	Brown/Suppes/Bloom Corpus (Kidd et al. 2007)
Inanimate	32.2%	40.2%	Brown Corpus (Diessel 2004)
		75%	Brown/Suppes/Bloom Corpus (Kidd et al. 2007)

Table 1 English RC type by NPhead animacy.

(16) Animate subject–Inanimate object

- a. SRC: the hiker [that _ rolled away the rock]
- b. ORC: the rock [that the hiker rolled away _]
- (17) Inanimate subject–Animate object
 - a. SRC: the rock [that _ crushed the hiker]
 - b. ORC: the hiker [that the rock crushed_]

Pronominality is also crucial in RC processing: when an ORC's subject is a personal pronoun (18), the subject-object asymmetry may be neutralized or reversed (Gordon et al. 2001; Reali & Christiansen 2007).

(18) The consultant [that you called _]

Reali & Christiansen (2007) attributed the ease of processing pronominal ORCs to high frequency in daily use. Discourse factors tend to lead to ORCs having inanimate heads and pronominal subjects; their frequency increases their accessibility, which guides the processor's expectations.

3.5 Prominence effects

From a semantic rather than a syntactic perspective, the prominence of subjects in discourse may make them more accessible for RC processing (Mak et al. 2006; O'Grady 2011; Lin 2018). Lin (2018: 716) proposed that the subject position, as the default for topic/case, is more prominent and receives more attention. Assuming that head nouns are preferably associated with arguments of greater discourse prominence, SRCs are easier to process because they provide information about the prominent entity in the discourse, matching prominence expectations.

Building on Schachter's (1977) idea of foregrounding, Kuno's (1976) idea of topicality (see also Givón 1983), and MacWhinney's idea of perspective (MacWhinney 1977; 2005; MacWhinney & Pléh 1988), O'Grady (2011: 21) formulated the notion of a "prominence factor":

(19) The ease with which the processor establishes an aboutness relationship with a nominal is proportional to the prominence of that nominal's referent within the RC. (A referent functioning as subject within the RC is most prominent, a referent functioning as direct object is next most prominent, and so on.)

That is, when the head noun of an RC refers to a more prominent entity, the RC is easier to process.

Mak et al. (2006; 2008) similarly attributed prominence to argument topichood: the subject is by default the sentential/clausal topic (Lambrecht 1994: 134; Aissen 1999), and the head noun is the topic of the RC (Kuno 1976; Van Valin & Wilkins 1996). Another dimension of topichood comes from discourse: the topic is the entity that the event is about (a further dimension is being the agent at the thematic level; O'Grady 2011). In comprehension, readers have a

tendency to align topics at different levels with the same entity, choosing the discourse topic as the RC subject, expecting it to be the agent, and aligning it with the head noun.

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In this view, topicworthiness determines which entity in an event is the head noun/subject of the RC. Topicworthiness is based on various factors including givenness (Gordon et al. 2001; Mak et al. 2008) and animacy (Van Valin & Wilkins 1996). Personal pronouns, having greater givenness, are more topicworthy than full NPs; animate entities are more topicworthy than inanimate entities. Hence, the most topicworthy entity is assumed to be the discourse topic, the agent of the event, the RC subject, and the head noun.

The same tendencies are observed in production (Montag & MacDonald 2009; Gennari et al. 2012). Given an animate theme head, English-speaking adults produce syntactically more complex passive SRCs (20) more often than active ORCs (21). Examples (20–21) are from Montag & MacDonald (2009: 2594).

- (20) The baby (that/who is) being held by the woman. Passive SRC
- (21) The baby (that/who) the woman is holding. ORC

Prominence may also ameliorate ORC difficulty (Section 3.4): ORCs with a pronominal subject or an expectation-matching animacy configuration (i.e. animate subject–inanimate object) are easier to process because the more topicworthy entity takes the subject (topic) position, matching the topichood expectation.

3.6 Interim summary

This section introduced proposals for the source(s) of the subject-object asymmetry in Indo-European languages, which generally predict the subject advantage. Next, we review RC studies in other languages, including some with prenominal RCs and ergative-absolutive languages, showing that the same proposals can predict varied outcomes, and that the subject advantage can be modulated by a variety of factors.

4 Cross-linguistic considerations

Investigations in non-Indo-European language families with typological variations in RC construction offer an opportunity to tease apart the confounding and complex effects of morphosyntactic and semantic properties on RC processing.

This section discusses typological variations in word order, headedness, resumption, and morphosyntactic alignment. It illustrates how specific variations might affect RC processing/ acquisition difficulty, which might in turn affect SRC/ORC preferences.

4.1 Word order and headedness

Cross-linguistic variation in word order and in RC head directionality affects the constituent order in RC constructions. First, word order determines the location of the gap and its distance from the head noun, which affects the number of intervening elements in RC dependencies. For example, English (22) is an SVO language and Persian (23) is an SOV language. Both have postnominal RCs, but their different constituent orders result in different dependency lengths.

(22)English (SVO, postnominal RCs) |-----| a. SRC: the boy [that _ sees the girl] |-----| b. ORC: the boy [that the girl sees _] (23)Persian (SOV, postnominal RCs; Rahmany et al. 2011, pp. 372-373) |-----| a. SRC: xanum-i ke [_mærd-o negah mikone] woman-REL that man-ACC looks.at do.PRS-3SG 'the woman that is looking at the man'

> b. ORC: zæn-i ke [mærd negah mikone] woman-REL that man looks.at do.PRS-3SG 'the woman that the man is looking at'

Second, RCs can be prenominal or postnominal, which determines whether RCs come before or after the head noun. The accounts based on linear distance or linear order of the dependency—resource-based effects and canonicity effects—generate opposite predictions on RC difficulty depending on head directionality. For example, Mandarin RCs are prenominal (24). Consequently, the dependency is shorter for ORCs than SRCs. ORCs also have fewer intervening elements in the dependency. According to resource-based accounts, the RC type with a shorter dependency should incur a lower processing cost (and be easier to acquire). Hence, Mandarin would be predicted to show an object advantage, rather than the subject advantage of languages with postnominal RCs like English.

(24) *Mandarin* (SVO, prenominal RCs)

a. SRC: [_ kanjian nuhai] de nanhai see girl REL boy 'the boy that sees the girl' |------| b. ORC: [nuhai kanjian_] de nanhai girl see REL boy 'the boy that the girl sees'

The interaction between a language's word order and its RC configuration allows us to examine which factors might have universal effects on RC processing and acquisition. For example, English and Mandarin are both SVO languages, but differ in RC placement. Meanwhile, Mandarin, Japanese (25), and Korean (26) all have prenominal RCs, but Japanese and Korean are SOV languages.

(25) *Japanese* (SOV, prenominal RCs)

a.	SRC:	[_ inu-o mi-ta] kodomo
		dog-ACC see-PST child
		'the child that saw the dog'
b.	ORC:	[kodomo-ga _ mi-ta] inu
		child-NOM see-PST dog
		'the dog that the child saw'
		-

(26) Korean (SOV, prenominal RCs)

a.	SRC:	[_namca-lul]	po-nun] ye	ca	
		man-ACC	see-RC.PRS wo	oman	
		'the woman t	hat sees the	man'	
b.	ORC:	[namca-ka	_ po-nun]	yeca	
		man-NOM	see-RC.PRS	woman	
		'the woman that the man sees'			

A resource-based effects account predicts a subject advantage for English and an object advantage for Mandarin due to the differences in the length of the dependency between SRCs and ORCs. ORCs should also be preferred in Japanese and Korean, as their prenominal RC configuration (opposite constituent order from English) creates a longer dependency in SRCs.

However, if memory effects are associated with the cost of integrating information, Japanese and Korean may not have a subject-object asymmetry (Gibson & Wu 2013). This is because the verb (where the NP information is integrated) is right next to the head noun in both types of RCs.

A canonicity effects account, which claims that ease of processing stems from expectations for upcoming clauses based on canonical word order, also favors ORCs over SRCs in Mandarin, where SRCs have a non-canonical word order, while ORCs follow the canonical word order. In contrast, in Japanese and Korean, both SOV languages, neither SRCs nor ORCs follow the canonical word order; thus, no subject advantage would be predicted (Diessel 2007).

Although the alignment of constituent order with canonical word order gives ORCs a processing advantage in Mandarin, it can also cause interference in comprehension. The matching constituent order results in surface identity between ORCs and transitive clauses, creating temporary main-clause ambiguity before the relativizer or head appears. This can cause gardenpath effects (Lin & Bever 2011), requiring reanalysis and higher processing costs.

In contrast, accounts based on structural complexity and subject prominence are unaffected by variations in RC configuration and word order. Universal syntactic and semantic properties of subject arguments (e.g. higher position in syntactic structure; topic prominence in discourse) should support a subject preference regardless of a language's RC configuration and word order. *Figures 1* and 2 summarize findings on the RC preferences of nominative-accusative languages from child and adult studies respectively.²



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in nominative-accusative languages. Each symbol represents a relevant experiment in a study.

Figure 1 Summary of RC

studies on child acquisition

As the figures show, a large majority of languages with postnominal RCs show a subject preference. Although some languages show no preference, an object preference is very rare.

Languages with prenominal RCs are less consistent, except for Korean, which shows a consistent subject advantage. For Cantonese, Japanese, Mandarin, and Turkish, on which a number of studies exist, there is a tug-of-war: some studies report a subject advantage, some report no preference, and others report an object advantage. (On Mandarin RCs, see Section 5.2.)

Importantly, however, production studies are subject to less variability. This is likely because production research is less affected than comprehension research by confounds such as the garden-path effects mentioned above. When garden-path effects are eliminated (Lin & Bever 2011; Jäger et al. 2015), these languages also show a subject advantage.

Some languages allow both prenominal and postnominal RCs, presenting a critical test case for the effect of headedness. While studies on these languages show the interpretation of an RC is affected by its placement, the subject advantage persists, albeit weakened, for prenominal RCs. Wagers et al. (2018) found that Chamorro shows a subject preference in both prenominal and postnominal RCs when the interpretation is unambiguous. While interpretations of an ambiguous RC show a subject preference for postnominal RCs and an object preference for prenominal RCs, Wagers et al. found that the subject interpretation becomes available to speakers sooner, even if



Figure 2 Summary of RC studies on adult processing in nominative-accusative languages. Each symbol represents a relevant experiment in a study.

it does not manifest in their interpretation. In Tagalog, another Austronesian language, Tanaka et al. (2019) and Pizarro-Guevara (2020) found an SRC advantage with postnominal RCs. Pizarro-Guevara also found an SRC advantage with prenominal RCs, although only in reaction times, not in accuracy. Pizarro-Guevara further found an SRC preference with ambiguous postnominal RCs and no preference with ambiguous prenominal RCs. However, participants arrived at the SRC interpretation much faster than the ORC interpretation regardless of head direction, much as in Wagers et al.'s (2018) study.

Another distinction in headedness relates to whether the head is located within or outside of the RC. In both the prenominal and the postnominal RCs we have discussed so far, the head is located outside of the RC. In contrast to such externally headed RCs (EHRCs), internally headed RCs (IHRCs) have a head located within the RC, as seen in the Korean examples in (27) and (28).

- (27) SRCs in *Korean* (Kim 2004: 1–2)
 - a. IHRC: Jinho-nun [[**totwuk-i** tomangka-n]-un kes]-ul capassta Jinho-TOP **thief**-NOM run.away-IPFV-REL thing-ACC caught 'A/The thief was running away and Jinho caught him.'
 - b. EHRC: Jinho-nun [[_ tomangka-n]-un totwuk]-ul capassta Jinho-TOP run.away-IPFV-REL thief-ACC caught 'Jinho caught a/the thief who was running away.'
- (28) ORCs in Korean (Jeon & Kim 2007: 256)
 - a. IHRC: John-un [_{NP}[**chayk-(ul)** pilli-n] kes]-ul toli-ecwu-ess-ta John-TOP **book-**ACC borrow-REL.PST thing-ACC return-AUX-PST-DECL 'John returned the book he borrowed.'
 - b. EHRC: [_{NP} [John-i _ ilk-ul] chayk] John-TOP read-REL.FUT book 'the book that John will read'

While IHRCs are not as widespread as EHRCs, they are fairly common in languages of East Asia (e.g. Cantonese, Japanese, Korean), languages of the Americas (e.g. Lakota, Quechua), Austronesian languages (e.g. Tagalog), and sign languages (e.g. American Sign Language, German Sign Language). Guasti & Shlonsky (1995) claimed that IHRCs even occur in child

French. Because of the limited availability of IHRCs in well-studied languages, the research on them is scarce, and studies on the subject-object asymmetry are usually limited to EHRCs. Studies in Korean (e.g. Kim 1987; Lee 1991; Cho 1999), however, reveal an interesting difference between EHRCs and IHRCs: children produce more ORCs than SRCs in the form of IHRCs in both naturalistic speech and production experiments, whereas for SRCs they prefer EHRCs. The higher frequency of internally headed ORCs and lower frequency of externally headed ORCs suggests that a head-external ORC construction might be more difficult for children, and that they use IHRCs as an avoidance strategy. Cantonese monolingual children and Cantonese-English bilingual children have also been observed to use IHRCs to bootstrap their acquisition of ORCs (Yip & Matthews 2007a; b; Chan et al. 2017), as head-internal ORCs in Cantonese share a surface identity with SVO main clauses, ameliorating the difficulty of processing ORCs. More evidence comes from L2 learners of Korean, who have shown different preferences in EHRC and IHRC contexts: while maintaining a subject advantage in EHRCs, the preference for SRCs disappears with IHRCs (Jeon & Kim 2007). This finding supports the suggestion that IHRCs are syntactically less complex, making internally headed ORCs comparatively easier to process. The findings of this handful of existing studies point to a promising avenue for future research.

4.2 Resumption

So far, all the examples presented in this paper use the gapping strategy to form externally headed RCs (i.e. the head leaves its original position empty when displaced out of the clause). There is, in fact, another rather common strategy for relativization: resumption.

The resumption strategy inserts a pronominal element (either pronoun or clitic) to indicate the location of the gap in the RC dependency. Some languages such as Hebrew allow resumption and gapping interchangeably, as in the example in (29).

(29) Hebrew (Friedmann et al. 2009: 72)
 Tare li et ha-kof [she-ha-yeled mexbek (oto)]
 show to-me ACC the-monkey that-the-boy hugs him
 'Show me the monkey that the boy is hugging (it).'

The use of resumption has been cited as evidence in adult processing and child acquisition of processing difficulty. For adults, syntactic complexity in production shows a correlation in their acceptance of resumption and tendency to use it. Children demonstrate a stronger reliance on the resumption strategy (whether with pronouns, prepositional pronominals, or full NPs) when they are under pressure to produce a more difficult construction, such as ORCs. Hebrew and Mandarin Chinese both allow resumptive ORCs to be used interchangeably with gapped ORCs; children of these languages were found to use the resumptive pronoun strategy predominantly with ORCs, even when the gapping option is available, whereas the proportion of resumptive SRCs was much lower (Hebrew: Varlokosta & Armon-Lotem 1998; Arnon 2005; Mandarin: Chiu 1996; Hsu et al. 2009).

Even in languages in which resumption is theoretically not an option for relativization, such as English, French, and Spanish (McKee et al. 1998; Labelle 1990; Pérez-Leroux 1995), it can be a popular strategy for children when they are tackling difficult constructions: resumption is rarely found in their SRCs, but very common in their ORCs (with relative pronouns or full NP copies).

Although resumption appears to be a relatively important strategy in child production, its role in child comprehension is less concrete. Some studies have found a strong facilitative role of the resumptive pronoun in children's processing of ORCs (Hebrew: Arnon 2005; Persian: Rahmany et al. 2014), while others have not (e.g. Hebrew: Friedmann et al. 2009; Cantonese: Lau 2016).

4.3 Morphosyntactic alignment

Morphosyntactic alignment also influences RC preferences. In languages with nominativeaccusative alignment, intransitive subjects (S) and transitive subjects (A) are treated the same as nominatives—and direct objects (O) are treated differently—as accusatives—in case marking and agreement. For example, in Japanese, S and A take the nominative case marker *-ga* and O takes the accusative case marker *-o*. In contrast, ergative-absolutive languages treat S and O

alike, differentiating them from A. Ergative-absolutive languages offer an opportunity to tease apart grammatical, morphological, and semantic properties that, in nominative-absolutive languages, converge on the notion of subjecthood (Dixon 1994: 111).

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First, ergative-absolutive system allows us to evaluate the effect of morphological cues and whether a subject advantage can be separated from it, as first proposed by Carreiras et al. (2010), who conducted an RC processing study on Basque. According to Carreiras et al., subjects, either intransitive or transitive, are in an unmarked class, while transitive objects are in a marked class in nominative-accusative languages. In ergative-absolutive languages, on the other hand, intransitive subjects and transitive objects are grouped in an unmarked class, while transitive subjects are in a marked class. They proposed that the processing advantage is associated with morphological unmarkedness, which leads to a subject advantage in nominative-accusative languages but an object advantage in ergative-absolutive languages. They did not, however, offer further explanation of why morphological unmarkedness leads to a processing advantage. Polinsky et al. (2012) put forward a slightly different proposal to explain how a morphological advantage manifests in ergative-absolutive languages based on Marantz's (1991) notion of "dependent" and "independent" cases. In nominative-accusative languages, nominative is an independent case and accusative is a dependent case. That is, a clause can have a nominative argument without also having an accusative argument, but an accusative argument can only occur if there is a nominative argument. Hence, an accusative argument strongly signals that the clause is transitive and that there should be a nominative argument, while the presence of a nominative argument itself does not signal the presence of an accusative argument. In RCs, too, an accusative argument facilitates the prediction of the presence of a nominative argumet, except when the nominative argument is absent because of the gap, which in turn helps anticipate an upcoming nominative head noun. In ergative-absolutive languages, on the other hand, absolutive is an independent case and ergative is a dependent case; therefore, an ergative argument can signal the presence of an absolutive gap, giving a potential advantage to an (absolutive) ORC (Polinsky et al. 2012; Longenbaugh & Polinsky 2016). More details on these studies are provided in 4.3.1.

Second, languages with syntactic ergativity offer a further opportunity to tease apart grammatical and processing preferences for subjects, as will be discussed in 4.3.2. In these languages, the relativization of ergative subjects is treated differently from the relativization of absolutive subjects/objects (e.g. Tongan, Ono et al. 2020a; b), or may not be allowed without additional measures (e.g. Q'anjob'al Mayan, Gagliardi et al. 2013; Clemens et al. 2015). Because absolutives in ergative-absolutive languages are more accessible to syntactic processes, including relativization, they allow us to explore the question of whether a grammatical advantage translates to a processing advantage (Fox 1987).

Figure 3 summarizes findings on the RC preferences of ergative languages.³



Figure 3 Cross-linguistic survey on the subject-object asymmetry in ergative languages. Each symbol represents a relevant experiment in a study.

In what follows, we first discuss morphologically ergative languages and then discuss syntactically ergative languages. We acknowledge that there are languages that show construction-specific ergativity, such as Georgian (Lau et al. 2018; Foley 2020) and Hindi-Urdu (Hansen 1986; Vasishth & Lewis 2006), but we do not further discuss these split ergative languages.

3 Supplementary File 3 provides a summary of studies on ergative-absolutive languages.

4.3.1 Morphologically ergative languages

Studies on morphologically ergative languages demonstrate the role of morphology in the acquisition and processing of RCs. For instance, Basque (SOV) groups S and O as the unmarked category—absolutive—and treats A as a marked category—ergative. As explained above, absolutive is an independent case while ergative is a dependent case. Both the unmarked status and independent nature of the absolutive can give rise to an (absolutive) object advantage.

Basque comprehension studies show a preference for absolutive ORCs (30c) over ergative SRCs (30b) in children (Gutierrez-Mangado 2011; Gutierrez-Mangado & Ezeizabarrena 2012) and adults (Carreiras et al. 2010). While resource-based effects could account for this object preference (Basque uses prenominal RCs), another plausible explanation is the morphological unmarkedness of absolutive O (Carreiras et al. 2010; Gutierrez-Mangado 2011; Gutierrez-Mangado 2011; Gutierrez-Mangado & Ezeizabarrena 2012), because an overtly marked ergative argument in a prenominal RC unambiguously signals an ORC. Nevertheless, children's and adults' production reveals a subject advantage (Gutierrez-Mangado & Ezeizabarrena 2012), presumably because production is less influenced by morphological cuing effects than comprehension.

(30) Basque (Gutierrez-Mangado & Ezeizabarrena 2012: 5, ex. 7)

a. SOV

Mutiko-a-k pinguinu-a-Ø garbi-tzen du boy-the-ERG penguin-the-ABS wash-IPFV AUX 'The boy is washing the penguin.'

b. SRC (Ergative subject gap)
 [_pinguino-a-Ø garbi-tzen du-en] mutiko-a-Ø⁴
 penguin-the-ABS wash-IPFV AUX-REL boy-the-ABS
 'the boy that is washing the penguin'

c. ORC (Absolutive object gap)
 [pinguino-a-k _ garbi-tzen du-en] mutiko-a-Ø
 penguin-the-ERG wash-IPFV AUX-REL boy-the-ABS
 'the boy that the penguin is washing'

Avar, another morphologically ergative language with SOV word order and prenominal RCs, offers additional insights (Polinsky et al. 2012). Like Basque, Avar zero-marks absolutive objects and overtly marks ergative subjects. The suffix-marked ergative subject signals a clause-mate absolutive argument, but not vice versa. Furthermore, Avar verbs agree in noun class with the absolutive; thus, verb morphology also cues the existence and identity of the absolutive co-argument.

(31)Avar (Polinsky et al. 2012: 271–276, ex. 7–9) SRC (Ergative subject gap) a. [_Soloqana-y yas-Ø repetici-yal-de y-ač:-un y-ač'-ara-y] unmarried-II girl-ABS rehearsal-OBL-LOC II-bring-GER II-come-PRTCP-II artistka-Ø [...] actress-ABS 'The actress [that brought the young girl to the rehearsal] [...]' ORC (Absolutive object gap) b. [xalq'iya-y artistka-yał _ repetici-yal-de y-ač:-un y-ač'-ara-y] people's-II actress-ERG rehearsal-OBL-LOC II-bring-GER II-come-PRTCP-II yasi-Ø [...] girl-ABS 'The girl [that the distinguished actress brought _ to the rehearsal] [...]' Intransitive SRC (Absolutive subject gap) c. [_xalq'iya-y artistka-yal-da-ask'o-y repetici-yal-de č':11-n people's-II actress-OBL-LOC-near-II rehearsal-OBL-LOC standing-GER y-ik'-ara-y] yas-Ø II-be-PRTCP-II girl-ABS 'The girl [that _ stood next to the distinguished actress at the rehearsal] [...]' Although Polinsky et al. found no processing difference between ergative SRCs (31a) and

absolutive ORCs (31b), they argued that Avar has a subject (i.e. A-argument) advantage that

⁴ The ergative subject 'the boy' no longer bears ergative case as it takes absolutive case in the matrix clause. But the RC is missing an ergative subject, compared with (30a, c), signaling this is the ergative gap.

is suppressed by the morphological advantage for the absolutive (i.e. O-argument). Similar arguments were made in Longenbaugh & Polinsky's (2016) study on Niuean, which found an advantage for absolutive SRCs but no difference between ergative SRCs and absolutive ORCs.

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These findings—the comprehension-production asymmetry in Basque and the lack of a clear subject advantage in Avar and Niuean—suggest that morphological cuing effects are independent of the subject advantage, and these effects could neutralize or counteract the subject advantage in ergative languages. In fact, Clemens et al. (2015) demonstrated that adult speakers of Ch'ol Mayan show a clear processing preference for SRCs: higher accuracy, faster response times, and a tendency to interpret ambiguous RCs as SRCs. In Ch'ol, grammatical relations are marked on the predicate but not on the NP, which Clemens et al. used to argue that case cuing, but not predicate marking, mitigates the subject advantage.

The few studies on morphologically ergative languages show that the effects of morphology exist independent of the subject advantage, and that these effects can counteract the subject advantage.

4.3.2 Syntactically ergative languages

Some languages manifest ergativity syntactically; in such cases, relativization is restricted to absolutive arguments. Essentially, these languages can only relativize absolutives (S and O), and special constructions have to be used to relativize ergatives (A).

In Q'anjob'al Mayan, a syntactically ergative VSO language, absolutive O can be relativized directly, but ergative A cannot. As shown in (32), the predicate must be converted to intransitive by using "Agent Focus" (AF) to relativize the A-argument; after relativization, the ergative subject no longer triggers agreement morphology in the AF verb (as it would in a transitive verb).

- (32) Q'anjob'al Mayan (Clemens et al. 2015: 438, ex. 17a, 18–20)
 a. Transitive clause
 Max-Ø y-uk' ix ix kapey.
 PFV-3.ABS 3.ERG-drink DET woman coffee
 - 'The woman drank coffee.'b. ORC (Absolutive object gap) Max jay is [max h-el-a']
 - Max jay ix ix [max h-el-a' _]. PFV arrive DET woman PFV 2.ERG-see-TR 'The woman [who you saw _] arrived.'
 - c. Ungrammatical SRC (ergative subject gap)
 *Max jay ix ix [max-ach y-il-a' _].
 PFV arrive DET woman PFV-2.ABS 3.ERG-see-TR (Intended: 'The woman [who _ saw you] arrived.')
 - d. Grammatical SRC (subject gap with agent focus; AF-SRC) Max jay ix ix [max-ach il-on-i _].
 PFV arrive DET woman PFV-2.ABS see-AF-INTR 'The woman [who _ saw you] arrived.'

Gagliardi et al. (2013) found no (significant) difference between AF-SRCs and ORCs in the production of RCs by child and adult speakers of Q'anjob'al Mayan. This lack of difference indicates competition between the grammatical system, which disprefers A-argument extraction, and a processing preference for the A-argument. Clemens et al. (2015) offered further support, showing a subject preference in adult speakers' comprehension of ambiguous and unambiguous RCs in Q'anjob'al Mayan.

Another syntactically ergative language, Tongan (VSO; postnominal RCs), permits the relativization of an ergative subject with an obligatory resumptive pronoun in the gap position. Ono et al.'s (2020a) self-paced reading task showed a Tongan preference for absolutive ORC over ergative SRC. They suspected, however, that it might be due to potential ambiguity between the resumptive pronoun and the regular subject pronoun, which would cause slowdown in SRC processing during the reanalysis of an initial misinterpretation. In a follow-up experiment, Ono et al. (2020b) indeed found a slowdown at the resumptive pronoun in ergative SRCs. They also found slowdown at the ergative NP position in absolutive ORCs but not absolutive SRCs. They considered these results evidence for their argument of a subject advantage in Tongan RCs. That said, the subject advantage was not strong enough to outweigh the processing cost of the

resumptive pronoun in ergative SRCs.⁵ In Tongan, too, much like other ergative languages, different forces are in competition with a subject advantage, neutralizing its effects.

While the scarcity of RC studies on ergative languages prevents firm conclusions, the existing studies seem to support the presence of some kind of processing preference for subjects— which, however, may be weakened by morphological advantages associated with the absolutive and/or grammar disfavoring the extraction of ergatives. Our knowledge that this possibility exists relies on the opportunity provided only by ergative languages to disentangle distinct properties of subjecthood. More research on ergative languages is needed to further develop our understanding of where the processing preference for subjects, which is distinct from morphological or grammatical advantages, comes from.⁶

5 Cross-methodological considerations

Many methods are employed to examine RC processing and acquisition. In the following subsections, we summarize four types of comprehension task—picture/character selection (5.1), self-paced reading (5.2), eye-tracking while reading (5.3), and event-related potential (5.4)—followed by an overview of production tasks (5.5).

5.1 Picture selection and character selection

Picture selection (or sentence-picture matching) and character selection tasks are often used to study RC acquisition. Their design is similar: children see two pictures of the same pair of characters performing the same action, but with reversed thematic roles in each picture (*Figure 4*).



In *Figure 4*, both pictures have a bear and a monkey, and both illustrate a pinching event. The only difference between the two pictures is who plays which role in the event: in one picture, the monkey is the agent and the bear is the patient of the pinching event; in the other, their roles are reversed.

Some picture selection tasks use three pictures, with the third as a distractor. Others use only one picture with three (groups of) participants, as shown in *Figure 5*.



Figure 5 Example visual stimulus for picture/character selection task with three participants.

The pictures' reversibility is key. The child language acquisition literature generally assumes that children will rely on lexical semantics if they lack the syntax to understand a sentence. For this reason,

6 RC asymmetry also has been used to test ergativity status in Kaqchikel Mayan (Heaton et al. 2016) and Samoan (Muāgututi'a 2018).

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Figure 4 Example visual stimulus for picture/character selection task.

⁵ In addition, Otaki et al. (2020) found an ergative subject advantage in the acquisition of *wh*-questions in Tongan, which are considered pseudo-clefts with headless RCs.

the pictures are minimally different: they can only be differentiated by the correct interpretation of the sentence. The reversibility of the pictures ensures the children use their syntactic knowledge.

The difference between picture selection and character selection is in the choice set. Picture selection requires participants to pick the picture that best matches the sentence. However, the nature of an RC is not to identify an event but to pick one entity from a set; this pragmatic expectation is not met by the design of the picture selection task. The method has been criticized for its inefficacy because choosing the picture with the target is not sufficient to show that children understand the target referent of the RC (Arnon 2005; Adani 2011). Therefore, in some RC studies, participants are instructed to choose the character (not the picture) described by the sentence, thereby fulfilling the pragmatic presuppositions of an RC.

As mentioned, the reversal error provides evidence on children's RC comprehension. For example, given the target utterance in (33), children might wrongly choose the pinching monkey instead of the monkey that is being pinched, as shown in *Figure 6*.

(33) Target: The monkey that the bear is pinching



Figure 6 Reversal error in character selection for the example in (33).

The reversal error is often observed when RC constituents do not follow canonical word order, which suggests that children who have not yet acquired RC syntax rely on canonical word order to interpret sentences. Thus, they attribute the first NP in a sentence as the agent (e.g. Diessel & Tomasello 2005; Benţea 2012), and apply the relative positions of the predicate and arguments to the RC. For example, in English (SVO), children would assume that the argument that comes first and precedes the verb is the subject/agent of the event (Pozzan et al. 2016; Abbot-Smith et al. 2017).

If these tasks are administered off-line, with or without a computer (e.g. using printed pictures), error rate is the dependent variable. When they are administered on-line, reaction times can be measured with the use of mouse- or touch-tracking, allowing choice latency to be assessed (Freeman & Ambady 2010; Wagers et al. 2018). Eye-tracking can also be used to measure gaze fixation and duration in addition to accuracy and reaction times (Stern et al. 2019; MacDonald et al. 2020).

The relative simplicity of this paradigm gives it advantages such as the flexibility of being administered with or without a computer, on-line or off-line. Being picture- and audio-based, it is suitable for participants with low or no reading skills. Results obtained from picture selection are comparable to those obtained from self-paced reading (Clemens et al. 2015; Longenbaugh & Polinsky 2016), which we discuss next.

5.2 Time-sensitive word-window paradigms: Self-paced reading and maze tasks

The self-paced reading paradigm is popular in adult psycholinguistic research. Participants read a sentence word-by-word or phrase-by-phrase, pressing a key to advance to the next word/phrase. (A child-friendly version is self-paced listening, where button presses initiate audio segments.) The key press latencies are assumed to reflect processing difficulty at different points in a sentence, and correlate with the time course of the processing of the sentence.

Gibson (1998) demonstrated a correlation between reading times and processing costs in the incremental processing of long-distance RC dependencies. Longer reading times indicate more mental effort to hold the constituents in mind until the processor encounters and integrates the information needed to resolve the dependency. In English, the point of integration occurs (as shown by increased reading times) at the verb. Longer reading times with ORCs (at both RC verb and matrix verb) compared to SRCs are considered evidence for the subject advantage in English.

Maze tasks are also designed to identify points of difficulty during incremental comprehension (Nicol et al. 1997; Forster et al. 2009; Qiao et al. 2012). Participants choose between two possible sentence continuations, pressing a key to indicate their choice and to advance to the next word. Measuring the integration time for each word in a sentence pinpoints the location(s) of processing difficulty.

The location of reading time differences has been used to support theoretical claims and as evidence for RC preferences, especially in languages where other evidence is unclear. For example, Hsiao & Gibson (H&G; 2003) reported an object preference in Mandarin Chinese based on longer reading times, in doubly embedded RCs only, at a pre-relativization region in SRCs. With simple RCs, they found no significant difference. Subsequent works, however, question H&G's results. Lin & Bever (2006) argued that the differences H&G reported were unlikely, given their location, to reflect processes involved in resolving RC dependencies. In their own study, Lin & Bever found significantly faster reading times at the relativizer and the head noun for SRCs, which they took to reflect easier gap-filler integration, thus supporting a subject advantage for Mandarin. Vasishth et al. (2013) attempted to replicate H&G in two experiments; the first failed to replicate H&G's findings, instead finding a subject advantage at the head noun. The second found an object advantage, but the significant difference was at the relativizer, which does not support H&G's memory-based proposal. Vasishth et al. also criticized H&G for violating the assumptions of their own statistical model, and showed that the claimed effect disappears if all the model's assumptions are satisfied. Table 2 summarizes reported reading time patterns from RC studies on Mandarin, illustrating the processing advantage (or no advantage) observed in each window throughout the sentence. Studies are inconsistent on

Study	RC	de	HN	HN+1	Claim
SELF-PACED READING					
Lin 2006	n.s.	S	S	-	S
Li et al. 2010 (S-modifying)	n.s.	n.s.	S	n.s.	S
Li et al. 2010 (O-modifying)	n.s.	n.s.	S	-	S
Vasishth et al. 2013 Experiment 1	-	n.s.	S	n.s.	S
Vasishth et al. 2013 Experiment 2	-	n.s.	~S	S	S
Jäger et al. 2015 Experiment 1	S	n.s.	n.s.	S	S
Cheng et al. 2018 (0 digit recall)	n.s.	S	n.s.	n.s.	S
Hsiao & Gibson 2003 (singly-embedded RC condition)	0	n.s.	n.s.	n.s.	0
Chen et al. 2008 (Low Working Memory)	0	n.s.	n.s.	n.s.	0
Lin & Garnsey 2011	0	0	0	0	0
Cui 2013 (S-modifying)	n.s.	n.s.	0	n.s.	0
Gibson & Wu 2013	n.s.	0	0	n.s.	0
Vasishth et al. 2013 Experiment 3	-	0	~0	n.s.	0
Wu & Juffs 2016 (null context)	n.s.	0	n.s.	0	0
He et al. 2017 (S-modifying, youths)	n.s.	n.s.	n.s.	n.s.	0
He et al. 2017 (S-modifying, elders)	n.s.	n.s.	0	n.s.	0
Xu et al. 2019 Experiment 1	0	n.s.	n.s.	-	0
Xu et al. 2019 Experiment 2	n.s.	0	n.s.	-	0
Chen et al. 2008 (High Working Memory)	n.s.	n.s.	n.s.	n.s.	n.s.
Cui 2013 (O-modifying)	n.s.	n.s.	n.s.	n.s.	n.s.
He et al. 2017 (O-modifying, youths)	n.s.	n.s.	n.s.	S	n.s.
He et al. 2017 (O-modifying, elders)	n.s.	n.s.	n.s.	S	n.s.
MAZE TASK					
Qiao et al. 2012 Experiment 1 (Gmaze)	0	S	n.s.	-	0
Qiao et al. 2012 Experiment 2 (Lmaze)	0	n.s.	n.s.	-	0

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Table 2 Mandarin RCs:Findings from self-pacedreading.

Note. S: subject advantage; O: object advantage; n.s.: difference does not reach significance, interpreted as no S-O asymmetry; ~S/O: marginal advantage; -: results not reported. the point where subject-object asymmetry is observed: effects have been found at parts of the RC, at the whole RC region (RC), at the relativizer (de), at the head noun (HN), and only in spill-over regions after the head noun (HN + 1).

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5.3 Eye-tracking

Most eye-tracking studies on RCs use a reading paradigm, in which a stimulus sentence is presented on a screen. In self-paced reading, a sentence is presented piece-by-piece. For eye-tracking, the sentence is presented in full for a more natural reading experience. While the participant reads, the eye-tracker records the pattern of eye fixations, providing precise information on reading latencies for each word, thus tapping into the incremental processing of RC dependencies.

The equivalent of reading latencies in eye-tracking studies is gaze duration or total fixation. Gaze duration, sometimes also taken as equivalent to first-pass fixation, measures the total duration of fixation on a word before the eye leaves the region for the first time. Total fixation is the sum of all fixations on a word (i.e. all first-pass and subsequent re-reading times).

Another measure is rate of regression. Regression refers to fixations from re-reading a word; regression rate corresponds to the probability of returning to the target region after the first reading. Higher regression rates indicate difficulty in processing a word or integrating it into a structure. Regression-path duration, the total amount of fixation time on all target and pretarget regions, is also sometimes reported.

Studies consistently show higher regression rates for more difficult types of RCs. Baudiffier et al. (2011) found increased regressions in ORCs at the RC region, indicating an initial subject analysis during French RC processing. Betancort et al. (2009) found more regressions out of the final region of the more difficult type (i.e. ORC) in the dispreferred animacy configuration (I-A condition) in Spanish. They explained the longer total fixation time and higher regression rate for ORCs as indicating the reanalysis required after initial misinterpretation as an SRC. In Korean, Kwon et al. (2010) found longer regression-path duration and re-reading times for ORCs than SRCs.

5.4 Event related potential (ERP)

ERP is another time-sensitive measure employed by RC studies to pinpoint the location of difficulty during incremental processing of RC dependencies. It is also used to locate the parts of the brain involved in processing complex syntactic structures.

The two most common observations related to the processing of long-distance dependencies such as RCs are left anterior negativity (LAN) and the P600 effect. LAN is a negative-going wave with greater distribution in the anterior (than the posterior) region, and is often (but not always) left-lateralized. LAN is related to working memory load; observed between the head and the gap in RC processing studies, it is taken to reflect the processing cost of the load on working memory. For example, King & Kutas (1995) reported a bilateral frontal slow negative potential for the entire RC region, and a phasic LAN effect immediately following the gap in English ORCs. They interpreted these results as evidence for ORCs incurring higher memory costs during processing.

The P600 is a positive-going deflection that peaks at about 600ms (or in the range of 500–900ms) after stimulus onset. It often has a broad bilateral distribution over the head, with a maximum along the centro-posterior region. The P600 effect is taken to index processes of syntactic integration or syntactic reanalysis; an increase in positivity indicates an increase in integration difficulty.

Much like other processing paradigms, ERP studies also report higher processing costs associated with ORCs (e.g. Mecklinger et al. 1995; Ueno & Garnsey 2008; Kwon et al. 2013; Bulut et al. 2018; Lau et al. 2018).⁷

⁷ Supplementary File 4 provides a detailed summary of ERP findings.

5.5 Production

While most processing studies and many acquisition studies on RCs focus on comprehension, production also shows RC asymmetry. It is important to measure both, as some studies report comprehension-production asymmetry (Gutierrez-Mangado & Ezeizabarrena 2012).

Probably the most informative task type involves elicited production. One way to elicit production is to use a picture description task, in which participants describe pictures that provide context for obligatory RCs (Hamburger & Crain 1982; Zukowski 2009; Kim & O'Grady 2016). Another elicitation technique is referred to as a preference task (e.g. Novogrodsky & Friedmann 2006). Based on a contrastive context provide orally, participants are asked to say which option they prefer, requiring them to provide individuating information. These tasks circumvent comprehension-specific issues such as main clause ambiguity with prenominal RCs (see Section 4.1), and the data they produce can reveal sources of difficulty that comprehension tasks miss. The data are messier, however; the tasks allow speakers to produce ambiguity and employ avoidance strategies (Lee-Ellis 2011). Production data require careful analysis, and "accuracy," however defined, tends to be lower due to the speakers' freedom to say whatever they choose.

A more controlled production task type is (elicited) imitation (or sentence repetition), in which participants are asked to repeat what they hear (Slobin & Welsh 1973; Lust et al. 1998). The task is easy, and is usually used with young children or other populations (e.g. aphasics) for whom elicited production is difficult. Participants presumably do not simply take in and reproduce what they hear; rather, they process and analyze it, reconstructing it in their repetition. Because they have to make use of their grammar for the reconstruction, what they say reflects the syntactic knowledge/structure available to them. There is a confound, however—in order to imitate a sentence accurately, you have to comprehend it, so the task involves comprehension as well as production (Zukowski 2009).

Sentence combination tasks offer another somewhat controlled way to investigate production. Participants combine sentences such as "The man is coming today." and "The man painted the house last month." (Doughty 1991: 442). This is a common procedure in L2 studies, often as a written task, perhaps because it is easily done in class.

RC research also employs corpus analysis. Corpora usually contain naturalistic or spontaneous speech, providing useful information about what structures speakers—adults and children—use and hear most often. The limitations of corpus data include lack of control over the context. In addition, emergence may not be equivalent to acquisition (Lee-Ellis 2011: 60). Elicited narratives have similar shortcomings, but can provide more control.

6 Clinical populations

RC research also has a role in studies with clinical populations with linguistic delay, deficit, or loss. It is not our intention to misrepresent the differences among these heterogeneous groups. Nevertheless, the studies referenced in this section share a common thread.

First, as *Figure 7* shows, numerous studies on clinical populations report a subject advantage, albeit with considerable individual variation.⁸

Second, RC asymmetry research deepens our understanding of language deficits. One recurring question is whether language deficits occur in representation or in processing. For example, some argue for syntactic impairment in aphasics (e.g. Caplan & Futter 1986; Grodzinsky 1986; 1989; Friedmann & Shapiro 2003), and in people with specific language impairment (SLI; Friedmann & Novogrodsky 2004; Novogrodsky & Friedmann 2006; de López et al. 2014; Adani et al. 2016) and Williams syndrome (WS; Zukowski 2009). They may therefore rely on heuristics and assign thematic roles linearly (e.g. first NP as the agent) in non-canonical sentences, causing errors. This hypothesis accounts for why aphasics show an ORC preference in Cantonese and Mandarin, in which the prenominal ORCs resemble the canonical word order of the language (Section 4.1). Other researchers argue for processing impairment in aphasics (Lukatela et al. 1995), poor readers (Mann et al. 1984; Smith et al. 1989; Bar-Shalom et al. 1993), and



Figure 7 Studies on clinical populations (ASD: autism spectrum disorder; SLI: specific language impairment; WS: Williams syndrome). Each symbol represents a relevant experiment in a study.

people with SLI (Rakhlin et al. 2016). Based on parallelism between the target population and counterparts without language deficits (e.g. healthy adults, good readers, typically developing children), the claim is that their performance differs in quantity, not quality. Others suggest a hybrid approach (Garraffa & Grillo 2008; Rakhlin et al. 2016). Rakhlin et al. (2016), based on their research on SLI, pointed out that resource deficits could account for much but not all of their results. They suggested that any theoretical account for RC asymmetry should be informed by resource-based accounts, with additional considerations for other factors (e.g. the use of grammar).

These studies illustrate the broad theoretical and practical implications of the question of RC asymmetries. The fact that different clinical populations show parallel results between them and with non-clinical populations is an important contribution to the larger body of RC research, because it suggests a common underlying source of the asymmetry, although whether it is the sole source is a separate question. At the very least, clinical populations offer test cases in which cognitive resources are limited. It is therefore important for researchers across fields to communicate with each other and establish common ground for discussions.

A better understanding of the basis of RC asymmetry could also benefit clinical populations by (potentially) providing diagnostic tools. RCs have been used to evaluate ability in complex syntax and structural processing in people with autism spectrum disorder (ASD; Durrleman et al. 2015; Durrleman, Marinis & Franck 2016) and WS (Zukowski 2009).

7 Second language acquisition and multilingualism

The previous sections focused on monolingual speakers and/or those who identify as native speakers of the language. Yet the phenomenon of subject-object asymmetry has inspired much research on whether the NPAH might predict the order of acquisition and/or processing advantages for diverse multilingual populations, the focus of this section. Many of these studies support the NPAH—albeit with a bias from the overwhelming focus on learners of English as a second language—but the findings are not entirely consistent. Consider the variation seen in the L1 studies (Section 4). The studies briefly reviewed in this section involve a combination of two or three of these different languages, and additional factors must be considered; for example, cross-linguistic influence between languages, relative proficiency of languages (which may impact processing resources), age of onset, length of exposure, and so on.

In this section, we present these populations in roughly three categories: second language (L2) learners, heritage language speakers, and bilingual/trilingual children. Admittedly, these lines are blurry, but the distinction here respects the framing of the authors of the original studies.

7.1 Second language learners

This section summarizes studies that have reported on second/foreign language learners and speakers. Although a few of the studies include child learners, naturalistic learners, and heritage speakers, most of the participants are typical adult classroom learners. *Figure 8* illustrates the findings on the RC preferences of different L2 learner populations.⁹



Figure 8 Studies on second language learners. Each symbol represents a relevant experiment in a study.

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A comprehensive review of L2 studies warrants a separate paper, but we would like to highlight several studies that have investigated the effects of instruction on the (order of) acquisition of RCs; that is, whether teaching RCs in one position of the NPAH facilitates learning of RCs in other positions, and whether the direction of such effects follows the hierarchy (Gass 1982; Eckman et al. 1988; Doughty 1991; Hamilton 1994; Croteau 1995; Ammar & Lightbown 2005; Yabuki-Soh 2007). These studies have found that, when learners receive instruction about RCs of a certain position, they can generalize the knowledge to higher, but not to lower, positions on the NPAH. Eckman et al. (1988), Croteau (1995), and Ammar & Lightbown (2005), for example, showed that learners who were taught ORCs would also be able to understand and produce SRCs without instruction on SRCs. The same was not necessarily true the other way around—learners who received instruction on SRCs did not improve much on ORCs in Eckman et al. (1988). The finding that the effects of instruction may follow the NPAH illuminates an additional facet of the subject-object asymmetry.

7.2 Heritage language learners

Heritage language speakers or learners refer to those who speak a minority language of a society, such as a home language in an immigrant family, as their L1, and speak a majority language of the society as their L2 (Polinsky 2008). Typically, their L2 eventually becomes more dominant than their L1. A few studies explore the RC asymmetry in heritage Egyptian and Palestinian Arabic (Albirini et al. 2014), Levantine Arabic (Albirini 2018), Korean (O'Grady et al. 2001; Lee-Ellis 2011), Russian (Polinsky 2008; 2011), and Spanish (Stern et al. 2019). *Figure 9* presents a summary of the findings of the studies.¹⁰ While the results converge on a subject advantage, all such studies to date involve heritage speakers whose dominant language is English.

⁹ Supplementary File 6 provides a summary of second language studies.

¹⁰ Supplementary File 7 provides a summary of heritage language studies.



While the number of these studies is still relatively small, heritage speakers offer unique opportunities to expand our exploration of the RC asymmetry phenomenon, in relation to a more general inquiry of what heritage grammar looks like. Is it different from the grammar of monolingual native speakers, and if so, why? There are several reasons why heritage grammar may be divergent. First, because their L2 is more dominant than their L1, heritage language speakers are susceptible to transfer from the L2 instead of—or in addition to—the L1. Second, due to limited L1 input, they might not learn certain structures, resulting in incomplete L1 acquisition. Third, their L1—whether fully acquired or not—may go through attrition as their L2 becomes more dominant. Polinsky (2008; 2011) tackled these questions by comparing the comprehension of SRCs and ORCs by adult and child monolingual and heritage speakers of Russian. While both monolingual groups and child heritage speakers performed at ceiling, adult heritage speakers showed an asymmetry, indicating that divergence in heritage grammar is due to language attrition rather than to incomplete acquisition.

In addition, heritage speakers have more exposure in naturalistic settings and are often untutored; this means they are more likely to have regular exposure to complex structures like RCs and may rely less on explicit knowledge than classroom-based L2 learners (Lee-Ellis 2011).

7.3 Bilingual/Trilingual children

This section summarizes studies framed as being on bilingual and/or trilingual children (*Figure 10*).¹¹ We introduce these studies separately as many of them do not quite fit the mould of L2 studies or heritage studies in a traditional sense. We also include studies that could have well been introduced in previous sections on child L2 learners (e.g. Scheidnes & Redmond 2019 on L1-English L2-French children) and heritage speakers (e.g. Hu & Guasti 2017 on Mandarin-Italian bilingual children in Italy; Tsoi et al. 2019 on Mandarin-English bilingual children in Australia).



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Figure 9 Studies on heritage language learners. Each symbol represents a relevant experiment in a study.

Figure 10 Studies on multilinguals. Each symbol represents a relevant experiment in a study. These studies involve rather heterogeneous groups, including simultaneous bilinguals (Cantonese-English bilinguals in Hong Kong: Yip & Matthews 2007a; b; and in Australia: Kidd et al. 2015), or sequential bilinguals (Cantonese-English-Mandarin trilinguals in an English-immersion school in Hong Kong: Chan et al. 2017; Mandarin-English bilingual children in Italy: Hu & Guasti 2017; L1-English children learning French in an immersion program in Canada: Scheidnes & Redmond 2019), or both (Peeters-Podgaevskaja et al. 2020). Others do not specify (Tsoi et al. 2019). Participants also differ in terms of their language dominance within a single study, as well as in terms of the contexts in which they were exposed to their languages (e.g. both languages at home; L1 at home, L2 at school; L2 immersion school).

Nevertheless, the common thread that runs through the studies summarized in this section is that they are interested in children's RC performance in both/all of the languages they speak, as seen in *Figure 10*, rather than focusing on L1 (in the case of heritage studies) or L2 (in the case of L2 studies), and are also interested in the cross-linguistic influences between the languages. The only exception is Lee & Lee (2004), which only tested Korean RCs in Korean-Chinese bilinguals. Their study involves Korean immigrants and descendants in a Korean community in China and could be considered a heritage language study, but the authors present it as a bilingual study.

8 Conclusion

This paper presents an overview of RC asymmetry research across languages with different typologies and variations in their RC configuration, and across different linguistic groups and diverse methodologies. Based on our review, we conclude by making a few suggestions—both conceptual and practical.

First, all things considered, there is a convergence on a subject advantage; that is, the majority of findings show that SRCs are acquired earlier and are faster to process, easier to produce, preferred in ambiguous cases, and more resistant to language loss than ORCs in many languages. If RCs across languages of different typologies present a subject advantage as a universal tendency, then it is the hierarchical structure of RCs and/or the semantic-pragmatic properties related to the subject argument that result in the SRC advantage, because these are the only explanations that apply cross-linguistically.

That said, there are also some counterexamples, even from English and other well-studied languages, but particularly from languages with prenominal RCs and ergative languages. In fact, none of the existing proposals perfectly account for all the facts, as Pizarro-Guevara (2020) observed. One possibility is that this "universal" phenomenon is a byproduct of the field's initial focus on English and major European languages. At the same time, given the overall trend pointed out in the previous sections, it is possible that there is a true universal that applies across languages, which may not manifest clearly in some languages because of other factors that add additional processing burdens such as headedness, less common animacy configurations, or garden-path effects. For instance, a few studies show that an SRC preference can be obtained in Mandarin when the experimental design removes the influence of main clause ambiguity. Studies on Chamorro and Tagalog suggest that within the same language, postnominal RCs show a clearer subject preference than prenominal RCs.

It is therefore our recommendation that researchers take a multifactorial approach to RC asymmetry when designing an RC study rather than assuming a universal subject advantage, regardless of what the researchers may believe. As we have discussed in Sections 3 and 4, various factors can ameliorate the difficulty associated with the less preferred ORCs. For example, specific animacy configurations or pronominality contrasts between RC arguments make the supposedly more difficult ORCs more accessible. Interactions between typological features have also been shown to play a critical role in modulating RC preferences. Certain language-specific properties allow ORCs to bear features that favor processing expectations. In Mandarin, for example, the canonical word order and the prenominal nature of RCs allow ORCs' constituent order to match processor expectations better than that of SRCs, thereby making ORCs more comparable to SRCs in processing efficiency. In ergative languages, morphological and syntactic preferences for absolutive objects can counteract a subject preference associated with ergative subjects.

It is also important to draw from multiple types of data to paint a full picture. It is becoming more common for experimental linguists to present both comprehension and production data, which is a desirable trend, but most production data are naturalistic corpus data. While corpora are undoubtedly valuable sources for investigations of the natural use of language, it is also important to elicit production, using an appropriate context that challenges speakers to actively build a sentence, as we suggested in Section 5.5.

In the tremendous amount of RC literature, some avenues of inquiry are quite crowded, but others are relatively neglected. Building a complete picture of the processing and acquisition of RCs will require us to look at different languages, which will open up new approaches to this topic. We invite anyone who works on a language that exhibits interesting properties in terms of word order, headedness, or morphosyntactic alignment to contribute their data. More research is needed on languages with prenominal RCs, as there is a current bias toward languages with postnominal RCs. Languages that allow both prenominal and postnominal RCs are also particularly interesting for exploring the effects of headedness, as we have seen for studies on Chamorro and Tagalog. As we briefly discussed, internally headed RCs are also under-studied. There should also be more studies of RC asymmetry in ergative languages, as they would contribute valuable data for exploring what is special about subjecthood.

Even in well-studied languages, however, there is more to be done. This paper focused on the subject-object comparison, where the research is richest. But much more research testing the psychological validity of the rest of the NPAH (cf. Kim & O'Grady 2016) is called for if we are to fully understand what such a typological hierarchy can teach us about human language processing. More careful investigation of the incremental processing of RCs using time-sensitive measures and neuroscientific methodologies is also important, as it would lead to a better understanding of the locus of difficulty in RC processing as well as the mechanisms involved in the resolution of long-distance dependencies like RCs.

As for studies on clinical populations, language learners, and heritage speakers, exploring how these populations process RCs gives us opportunities to understand the nature of their language processing better, which may in turn provide useful information for practices in clinical and classroom interventions. Moreover, they offer different grounds for pursuing the mechanisms underlying RC processing and acquisition, reminding us to question what we might take for granted in typical monolingual native speaker populations.

Lastly, this paper limited its focus to RCs because of the wealth of available data. Yet we would add as a final note that RCs form only a subcategory of complex sentences. In fact, many proposals regarding the subject-object asymmetry reviewed in this paper were developed to account for a wide range of phenomena—resource-based effects and structural effects are hypotheses on syntactic movement and long-distance dependencies (e.g. raising, *wh*-questions), and canonicity effects and distribution-based effects can be used for research on other non-canonical sentence types such as passives. While the historical context surrounding the NPAH has inspired more work on RCs than other phenomena, it is important to use findings from RCs to deepen our general understanding of related phenomena, and to identify the common denominators underlying language typology, learning, and processing.

Abbreviations

2 = second person, 3 = third person, A = subject of a transitive verb, ABS = absolutive, ACC = accusative, AF = agent focus, ASD = autisum spectrum disorder, AUX = auxiliary, C(P) = complementizer (phrase), DECL = declarative, DET = determiner, ERG = ergative, ERP = event related potential, FUT = future, GER = gerund, INTR = intransitive, IP = inflectional phrase, IPFV = imperfective, LAN = left anterior negativity, LOC = locative, N(P) = noun (phrase), NOM = nominative, NPAH = noun phrase accessibility hypothesis, O = (direct) object, OBL = oblique, ORC = object relative clause, PFV = perfective, PRS = present, PRTCP = participle, PST = past, RC = relative clause, REL = relativizer, S = subject, SG = singular, SLI = specific language impairment, SRC = subject relative clause, TOP = topic, TR = transitive, V(P) = verb (phrase), ws = Williams syndrome. Glossing may be modified from the original source to conform to the Leipzig Glossing rules. Roman numerals indicate noun class in Avar.

Additional Files

The additional files for this article can be found as follows:

- Supplementary File 1. Data set for Figure 1. Summary of child L1 studies. DOI: https:// doi.org/10.5334/gjgl.1343.s1
- Supplementary File 2. Data set for Figure 2. Summary of adult L1 studies. DOI: https:// doi.org/10.5334/gjgl.1343.s2
- Supplementary File 3. Data set for Figure 3. Summary of L1 studies on ergativeabsolutive languages. DOI: https://doi.org/10.5334/gjgl.1343.s3
- Supplementary File 4. Summary of ERP studies. DOI: https://doi.org/10.5334/gjgl.1343.s4
- Supplementary File 5. Data set for Figure 7. Summary of clinical studies. DOI: https://doi. org/10.5334/gjgl.1343.s5
- Supplementary File 6. Data set for Figure 8. Summary of L2 studies. DOI: https://doi. org/10.5334/gjgl.1343.s6
- Supplementary File 7. Data set for Figure 9. Summary of studies on heritage languages. DOI: https://doi.org/10.5334/gjgl.1343.s7
- Supplementary File 8. Data set for Figure 10. Summary of studies on multilinguals. DOI: https://doi.org/10.5334/gjgl.1343.s8

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The authors have no competing interests to declare.

Authors contributions

Both Elaine Lau and Nozomi Tanaka contributed to the main conceptual ideas; to the acquisition, analysis, and interpretation of data; and to the drafting and revising of the manuscript. Elaine Lau provided figures.

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